

UNITED STATES DEPARTMENT OF COMMERCE  
 Patent and Trademark Office  
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 Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/031.108

02/26/98

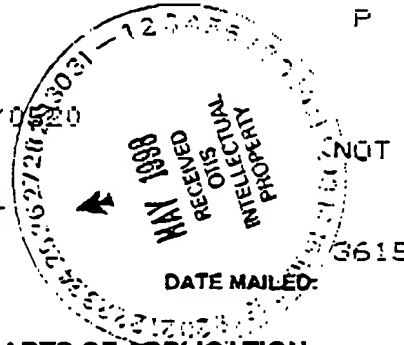
BARANDA

P

OT-4190

RANDY G HENLEY  
 OTIS ELEVATOR COMPANY  
 INTELLECTUAL PROPERTY DEPARTMENT  
 10 FARM SPRINGS  
 FARMINGTON CT 06032

0372/0520



NOT ASSIGNED

05/20/98

### NOTICE TO FILE MISSING PARTS OF APPLICATION

*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 1992

☒ 1. The statutory basic filing fee is:

☒ missing.

☐ Insufficient.

Applicant must submit \$ 790 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

☐ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

MAY 28 '98 15:31

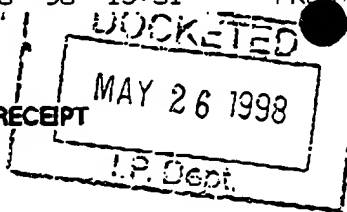
FROM INT PROP DEPT

TO 8-703-75-9128

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PTO-103X  
(Rev. 8-96)

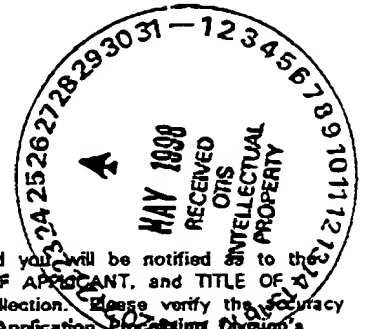
FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/031,108	02/26/98	3615	\$1,862.00	OT-4190	3	65	4

RANDY G HENLEY  
OTIS ELEVATOR COMPANY  
INTELLECTUAL PROPERTY DEPARTMENT  
10 FARM SPRINGS  
FARMINGTON CT 06032



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified of the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

## Applicant(s)

PEDRO S. BARANDA, FARMINGTON, CT; ARY O. MELLO,  
FARMINGTON, CT; HUGH J. O'DONNELL, LONGMEADOW, MA;  
KARL M. PREWO, VERNON, CT.

FOREIGN FILING LICENSE GRANTED 05/07/98

TITLE

TENSION MEMBER FOR AN ELEVATOR

PRELIMINARY CLASS: 187

Assistant Commissioner of Patent and Trademarks  
Washington, DC 20231

Sir:

Enclosed herewith for filing is the patent application of Pedro S. Baranda, Ary O. Mello, Hugh J. O'Donnell and Karl M. Prewo

Title: TENSION MEMBER FOR AN ELEVATOR

Enclosed is/are 3 sheets of ☒ informal ☐ formal drawings.

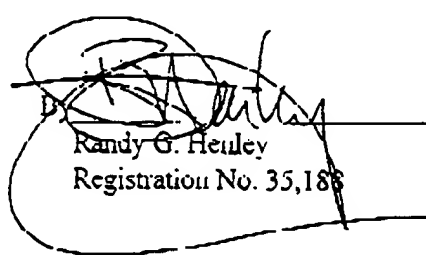
CLAIMS AS FILED				
FOR	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$790.00
TOTAL CLAIMS	65 - 20 =	45	x \$22.00	\$990.00
INDEPENDENT CLAIMS	4 - 3 =	2	x \$82.00	\$ 82.00
MULTIPLE DEPENDENT CLAIMS			\$270.00	\$ 0.00
TOTAL FILING FEE				\$1862.00

Please charge the TOTAL FILING FEE and any additional fee due, and credit any overpayment, to Deposit Account No. 15 0750, Order No. OT-4190 RGH. A duplicate of this transmittal letter is enclosed.

☒ Please record the enclosed assignment and charge the \$40.00 recording fee to the above deposit account and order number. Form PTO-1595 is also enclosed.

☒ Date of Deposit: February 26, 1998 Mailing Label No. FM427627832US

Otis Intellectual Property Department  
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